DT03 Rec'd PCT/PT0 3 0 NO

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 016325-014000US

U.S. APPLICATION NO. (If knewn see 37 SFR 1.5)
To be assigned / 516 599

INTERNATIONAL APPLICATION NO. PCT/US03/17825

INTERNATIONAL FILING DATE June 4, 2003

PRIORITY DATE CLAIMED

June 4, 2002

TITLE OF INVENTION

METHODS OF DIAGNOSING AND TREATING DIABETES AND INSULIN RESISTANCE

APPLICANT(S) FOR DO/EO/US

ALLAN, Bernard; GREGOIRE, Francine; LAVAN, Brian; MOODIE, Shonna; WATERS, Steve; WONG, Chi-Wai

| Applica | ant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:                                   |  |  |  |  |  |
|---------|---|--|--|--|--|--|
| 1. 🛛    | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |  |
| 2. 🗌    | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |  |  |  |  |  |
| 3. 🛛    | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include (5), (6), (9) and (21) indicated below. |  |  |  |  |  |
| 4. 🗌    | The US has been elected (Article 31).   |  |  |  |  |  |
| 5. 🛛    | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |  |  |  |  |
|         | a.  is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |
|         | b.  has been communicated by the International Bureau   |  |  |  |  |  |
|         | c. 🛛 is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |  |
| 6. 🔲    | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |  |  |  |  |
|         | a.  is attached hereto.   |  |  |  |  |  |
|         | b.  has been previously submitted under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |
| 7. 🛛    | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |  |  |  |  |
|         | a.   are attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |
|         | b.  have been communicated by the International Bureau.   |  |  |  |  |  |
|         | c.  have not been made; however, the time limit for making such amendments has NOT expired.   |  |  |  |  |  |
|         | d. 🛛 have not been made and will not be made.   |  |  |  |  |  |
| 8. 🔲    | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |
| 9. 🔲    | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |  |  |  |  |
| 10. 🗌   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).              |  |  |  |  |  |
|         | Items 11 to 20 below concern document(s) or information included:   |  |  |  |  |  |
| 11. 🔲   | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |  |  |  |  |
| 12. 🔲   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |
| 13. 🔲   | A preliminary amendment.  |  |  |  |  |  |
| 14. 🛛   | An Application Data Sheet under 37 CFR 1.76.  |  |  |  |  |  |
| 15. 🔲   | A substitute specification.   |  |  |  |  |  |
| 16. 🔲   | A power of attorney and/or change of address letter.  |  |  |  |  |  |
| 17. 🔲   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.  |  |  |  |  |  |
| 18. 🔲   | A second copy of the published international application under 35 U.S.C 154(d)(4).  |  |  |  |  |  |
| 19. 🔲   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |
| _       | Other items or information:   |  |  |  |  |  |

Page 1 of 2

To Correct Defects; Return Receipt Postcard; Express Mail Label EV 383385772 US Mailed November 30, 2004

PCT/IPEA/401 with Substitute Pages Under Article 34; PCT/IB/304; PCT/IB/301; PCT/RO/106; Transmittal Letter and Response to Invitation

## **ST15** Rec'd PCT/PTO 30 NOV 2004.

PTO-1390 (Rev. 10-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PPLICATION NO. (fiknown, see \$7.000 to \$1.000 to \$1.0

| u.s. applicațion.no. (i<br>To be assigned  | f known, see 67 CER 15)  | ATTORNEY'S DOCKET NUMBER 016325-014000US |                                       |                     |                     |  |  |
|--|--|--|---------------------------------------|---------------------|---------------------|--|--|
| To be decigning a  |  | CALCULATIONS                             | · · · · · · · · · · · · · · · · · · · |                     |                     |  |  |
| _  | g fees are submitted:  | ·  |                                       |                     |                     |  |  |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1) – (5)):  |  |  |                                       |                     |                     |  |  |
| nor international search   | eliminary examination fee (3<br>n fee (37 CFR 1.445(a)(2)) p<br>ch Report not prepared by the  |  | ·                                     |                     |                     |  |  |
| International preliminar<br>USPTO but Internation  | y examination fee (37 CFR<br>al Search Report prepared l   |  |                                       |                     |                     |  |  |
| International preliminar but international search  | y examination fee (37 CFR<br>n fee (37 CFR 1.445(a)(2)) p  |  |                                       |                     |                     |  |  |
| International preliminar<br>but all claims did not sa  | y examination fee (37 CFR<br>atisfy provisions of PCT Artic  |  |                                       |                     |                     |  |  |
| and all claims satisfied   | y examination fee (37 CFR provisions of PCT Article 33   | \$ 750.00                                |                                       |                     |                     |  |  |
|  | NTER APPROPRIATE B   | \$ 750.00                                |                                       |                     |                     |  |  |
|  | or furnishing the oath or deced priority date (37 CFR 1.49   | \$                                       |                                       |                     |                     |  |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXTRA                             | RATE                                  | \$                  |                     |  |  |
| Total claims   | 24 - 20 =  | 4  | x \$18.00                             | \$ 72.00            |                     |  |  |
| Independent claims   | 3 -3 =   | 0  | x \$88.00                             | \$                  |                     |  |  |
| MULTIPLE DEPENDE   | NT CLAIM(S) (if applicable)  |  | + 300.00                              | \$                  |                     |  |  |
|  |  |  | CALCULATIONS =                        | \$ 822.00           |                     |  |  |
| Applicant claims s reduced by ½.   | mall entity status. See 37 (   | \$411.00                                 |                                       |                     |                     |  |  |
|  |  | \$ 411.00                                |                                       |                     |                     |  |  |
|  | .00 for furnishing the Englis date (37 CFR 1.492(f)).  | \$                                       |                                       |                     |                     |  |  |
|  |  | \$411.00                                 |                                       |                     |                     |  |  |
|  | nclosed assignment (37 CF<br>propriate cover sheet (37 C   | \$ .                                     |                                       |                     |                     |  |  |
|  |  | \$ 411.00                                |                                       |                     |                     |  |  |
|  |  | Amount to be refunded:                   | \$                                    |                     |                     |  |  |
|  |  | Amount to be                             | \$ 411.00                             |                     |                     |  |  |
|  |  |  |                                       | charged:            | 0.411.00            |  |  |
|  | e amount of \$   | •  | e above fees is enclosed              |                     |                     |  |  |
| A duplicate o  | b. Please charge my Deposit Account No. 20-1430 in the amount of \$ 411.00 to cover the above fees.  A duplicate copy of this sheet is enclosed. |  |                                       |                     |                     |  |  |
| c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed.                 |  |  |                                       |                     |                     |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |  |                                       |                     |                     |  |  |
|  | ropriate time limit under 3<br>estore the application to p   |  | been met, a petition to               | revive (37 CFR 1.13 | 7(a) or (b) must be |  |  |
| SEND ALL CORRESPONDENCE TO:  |  |  |                                       |                     |                     |  |  |
| Jean M. Lockyer  |  |  |                                       |                     |                     |  |  |
| Townsend & Townsend  | d & Crew LLP   |  | <del></del>                           |                     |                     |  |  |
| 2 Embarcadero Center 8th Floor 44,879  |  |  |                                       |                     |                     |  |  |
| San Francisco, CA 94111 REGISTRATION NUMBER  |  |  |                                       |                     |                     |  |  |